



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Kay-Yut Chen et al

Confirmation No.: 3054

Application No.: 09/858251

Examiner:

Filing Date: May 15, 2001

Group Art Unit:

Title: An Automated Decision Support System For Designing Auctions

Box MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR SUBMITTAL OF MISSING PARTS

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f) mailed on 07/16/01. Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

☒ Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

☐ Statutory basic filing fee      ☐ Utility \$710.00      ☐ Design \$320.00

☐ Additional claim fees of \$

☒ Missing Parts Surcharge \$130.00

☐ A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

☐ one month \$110.00

☐ two months \$390.00

☐ three months \$890.00

☐ four months \$1390.00

Please charge to Deposit Account **08-2025** the sum of \$130.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

Kay-Yut Chen et al

By

Thomas X Li

Attorney/Agent for Applicant(s)  
Reg. No. 37,079

Date:

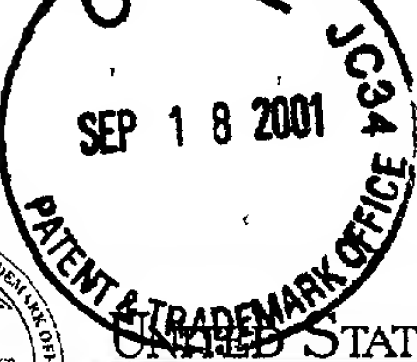
Telephone No.: (650) 857-5972

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: 9/14/01

Typed Name: Tiffany Turner

Signature:



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/858,251	05/15/2001	Kay-Yut Chen	10014416-1

CONFIRMATION NO. 3054

## FORMALITIES LETTER



\*OC000000006297182\*

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, CO 80528-9599

Date Mailed: 07/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

09/15/2001 EDWARD L. HIGGINS, JR. / J. HIGGINS

01 FD/09E 10/10/01

*A copy of this notice **MUST** be returned with the reply.*

SA

Customer Service Center

PART 2 - COPY TO BE RETURNED WITH RESPONSE